

# AN INFRASOLVMANIFOLD WHICH DOES NOT BOUND

J.A.HILLMAN

**ABSTRACT.** Orientable 4-dimensional infrasolvmanifolds bound orientably. We show that every non-orientable 4-dimensional infrasolvmanifold  $M$  with  $\beta = \beta_1(M; \mathbb{Q}) > 0$  or with geometry  $\text{Nil}^4$  or  $\text{Sol}^3 \times \mathbb{E}^1$  bounds. However there are  $\text{Sol}_1^4$ -manifolds which are not boundaries. The question remains open for  $\text{Nil}^3 \times \mathbb{E}^1$ -manifolds. Any possible counter-examples have severely constrained fundamental groups. We also find simple cobounding 5-manifolds for all but five of the 74 flat 4-manifolds, and investigate which flat 4-manifolds embed in  $\mathbb{R}^5$ .

## 1. INTRODUCTION

Flat  $n$ -manifolds are boundaries [6]. This result has been extended to restricted classes of infranilmanifolds [5, 10]. We shall show that it does not extend to all infrasolvmanifolds. Since every 3-manifold bounds, and every orientable 3-manifold bounds orientably, dimension 4 is the first case of interest. Here there is a geometric simplification. Every 4-dimensional infrasolvmanifold is either a mapping torus or the union of two twisted  $I$ -bundles. Simple algebraic arguments show that every such mapping torus bounds, while a geometric construction applies to many of the others. We find severe constraints on possible counter-examples, which lead to a class of  $\text{Sol}_1^4$ -manifolds which are not boundaries. In the latter part of the paper we seek explicit constructions of 5-manifolds with boundary a given flat 4-manifold, and we consider also the related question of which flat 4-manifolds embed in low codimensions.

Every infrasolvmanifold is finitely covered by a quotient  $\Gamma \backslash S$ , where  $\Gamma$  is a discrete cocompact subgroup of a 1-connected solvable Lie group  $S$  [1]. Such quotients are parallelizable, and so the rational Pontrjagin classes of infrasolvmanifolds are 0. In particular, orientable 4-dimensional infrasolvmanifolds have signature  $\sigma = 0$ . Therefore they

---

1991 *Mathematics Subject Classification.* 57R75.

*Key words and phrases.* boundary, embedding, 4-manifold, geometry, infrasolvmanifold.

bound orientably, and those with  $w_2 = 0$  bound as *Spin*-manifolds, since  $\Omega_4$  and  $\Omega_4^{Spin}$  are detected by  $\sigma$ .

Non-orientable bordism is detected by Stiefel-Whitney numbers. In our context, the only Stiefel-Whitney class of interest is  $w_1^4$ . It follows easily that every 4-dimensional infrasolvmanifold  $M$  with  $\beta = \beta_1(M; \mathbb{Q}) > 0$  bounds non-orientably. (This class includes all  $Sol_{m,n}^4$ -manifolds with  $m \neq n$  and all  $Sol_0^4$ -manifolds.) If  $\beta = 0$  then  $\pi = \pi_1(M) \cong A *_C B$ , where  $A$ ,  $B$  and  $C$  are fundamental groups of 3-dimensional infranilmanifolds and  $[A : C] = [B : C] = 2$ . In §4–§6 we assume that  $A$ ,  $B$  and  $C$  are virtually  $\mathbb{Z}^3$ , and we use a construction based on mapping cylinders of double covers to show that all  $\mathbb{E}^4$ -,  $Nil^4$ - and  $Sol^3 \times \mathbb{E}^1$ -manifolds with  $\beta = 0$  bound. The next three sections consider the remaining two geometries, via decompositions with  $A$ ,  $B$  and  $C$  the groups of  $Nil^3$ -manifolds. We do not yet have a complete result for these geometries, but the criterion of Theorem 9.1 leads to examples of  $Sol_1^4$ -manifolds which do not bound.

In §10 we show that if  $\beta \geq 2$  (and in many cases with  $\beta = 1$ ) then  $M$  is also the total space of an  $S^1$ -bundle over a closed 3-manifold, and so bounds the associated disc bundle. If the  $S^1$ -bundle space  $M$  is orientable then so is the disc bundle space. In §11 we show that the mapping cylinder construction applies to most of the 24 flat 4-manifolds which are not  $S^1$ -bundle spaces. Closed hypersurfaces in euclidean spaces are orientable and bound. In §12 we show that 11 of the 27 orientable flat 4-manifolds embed in  $\mathbb{R}^5$  and 14 do not, leaving the question open for two.

## 2. SOLVABLE LIE GEOMETRIES OF DIMENSION 4

If  $G$  is a group let  $G'$ ,  $\zeta G$  and  $\sqrt{G}$  denote its commutator subgroup, centre and Hirsch-Plotkin radical, respectively. Let  $G^{ab} = G/G'$  be the abelianization, and let  $I(G) = \{g \in G \mid \exists n > 0, g^n \in G'\}$  be the isolator subgroup. This is clearly a characteristic subgroup, since  $G/I(G)$  is the maximal torsion-free abelian quotient of  $G$ . If  $S$  is a subset of  $G$  then  $\langle S \rangle$  shall denote the subgroup of  $G$  generated by  $S$ , and  $\langle\langle S \rangle\rangle$  shall denote the normal closure of  $\langle S \rangle$ . We use the notation of Chapter 8 of [7] for automorphisms of flat 3-manifold groups.

Every 4-dimensional infrasolvmanifold is geometric. There are six relevant families of geometries:  $\mathbb{E}^4$ ,  $Nil^4$ ,  $Nil^3 \times \mathbb{E}^1$ ,  $Sol_0^4$ ,  $Sol_1^4$  and  $Sol_{m,n}^4$ . (The final family includes the product geometry  $Sol^3 \times \mathbb{E}^1 = Sol_{m,m}^4$ , for all  $m > 0$ , as a somewhat exceptional case.)

Let  $G$  be a 1-connected solvable Lie group of dimension 4 with a left invariant metric, corresponding to a geometry  $\mathbb{G}$  of solvable Lie type.

Let  $Isom(\mathbb{G})$  be the group of isometries, and let  $K_G < Isom(\mathbb{G})$  be the stabilizer of the identity in  $G$ . Let  $\pi < Isom(\mathbb{G})$  be a discrete subgroup which acts freely and cocompactly on  $G$ , and let  $M = \pi \backslash G$ . If  $\beta = \beta_1(M; \mathbb{Q}) \geq 1$  then  $M$  is the mapping torus of a self-diffeomorphism of a  $\mathbb{E}^3$ -,  $Nil^3$ - or  $Sol^3$ -manifold. If  $\beta = 1$  the mapping torus structure is essentially unique. If  $\beta \geq 2$  then  $M$  also fibres over the torus  $T$ , with fibre  $T$  or the Klein bottle  $Kb$ .

All orientable  $Sol_0^4$ -manifolds are coset spaces  $\pi \backslash \tilde{G}$  with  $\pi$  a discrete subgroup of a 1-connected solvable Lie group  $\tilde{G}$ , which in general depends on  $\pi$ . (See page 138 of [7].) In all other cases, the translation subgroup  $G \cap \pi$  is a lattice in  $G$ , and is a characteristic subgroup of  $\pi$  [3]. If  $G$  is nilpotent then  $G \cap \pi = \sqrt{\pi}$ ; in general,  $\sqrt{\pi} \leq G \cap \pi$ , and the holonomy  $\pi/G \cap \pi$  is finite.

If  $g : X \rightarrow X$  is a self-homeomorphism let  $M(g) = X \times [0, 1]/(z, 0) \sim (g(z), 1)$  be the mapping torus of  $g$ , and let  $[x, t]$  be the image of  $(x, t)$  in  $M(g)$ . If  $f : Y \rightarrow Z$  let  $MCyl(f)$  be the mapping cylinder of  $f$ .

### 3. STIEFEL-WHITNEY CLASSES AND THE CASES WITH $\beta \geq 1$

We give first some simple observations on the Stiefel-Whitney classes of 4-manifolds, which we shall use to show that 4-dimensional infrasolvmanifolds with  $\beta \geq 1$  are boundaries.

**Lemma 3.1.** *Let  $M$  be a closed 4-manifold and  $w_i = w_i(M)$ . Then  $w_4 = w_2^2 + w_1^4$  and  $w_1 w_3 = 0$ .*

*Proof.* The Wu formulae give  $v_1 = w_1$ ,  $v_2 = w_2 + w_1^2$ ,  $w_3 = Sq^1 w_2$  and  $w_4 = w_2^2 + w_1^4$ , since  $v_3 = v_4 = 0$ . Hence  $Sq^1 z = w_1 z$ , for  $z \in H^3(M; \mathbb{F}_2)$ . If  $x \in H^1(M; \mathbb{F}_2)$  then  $Sq^1(xw_2) = x^2 w_2 + x Sq^1 w_2$ . Therefore

$$xw_3 = (w_1 x + x^2)w_2 = (w_1 x + x^2)^2 + (w_1 x + x^2)w_1^2 = x^4 + w_1 x^3.$$

In particular,  $w_1 w_3 = w_1^4 + w_1^4 = 0$ . □

If  $M$  is a 4-dimensional infrasolvmanifold then  $w_4(M) = 0$ , since  $w_4(M) \cap [M]$  is the reduction of  $\chi(M) = 0 \pmod{2}$ . Therefore  $w_1^4 = w_1^2 w_2 = w_2^2$  is the only Stiefel-Whitney class of interest.

**Lemma 3.2.** *If  $N$  is a non-orientable 3-manifold then  $\beta_1(N; \mathbb{Q}) > 0$ .*

*Proof.* This is clear, since  $\chi(N) = 0$  and  $H_3(N; \mathbb{Q}) = 0$ . □

Similarly, if  $M$  is an orientable 4-manifold with  $\chi(M) = 0$  then  $\beta_1(M; \mathbb{Q}) > 0$ .

**Lemma 3.3.** *If a manifold  $M$  fibres over an  $r$ -manifold, with orientable fibre, then  $w_1(M)^{r+1} = 0$ .*

*Proof.* This is clear, since  $w_1(M)$  is induced from a class on the base of the fibration.  $\square$

**Theorem 3.4.** *Let  $M$  be a 4-dimensional infrasolvmanifold with  $\beta = \beta_1(M; \mathbb{Q}) > 0$ . Then  $M = \partial W$  for some 5-manifold  $W$ .*

*Proof.* The manifold  $M$  is the mapping torus of a (based) self diffeomorphism  $f$  of a closed 3-manifold  $N$ . Let  $\pi = \pi_1(M)$  and  $\nu = \pi_1(N)$ . Then  $\pi$  and  $\nu$  are virtually polycyclic, and  $\pi \cong \nu \rtimes_{\theta} \mathbb{Z}$ , where  $\theta = \pi_1(f)$ . If  $N$  is not orientable then  $I(\nu) < \nu$ , by Lemma 3.2, and so  $I(\nu) \cong \mathbb{Z}$ ,  $\mathbb{Z}^2$  or  $\pi_1(Kb) = \mathbb{Z} \rtimes_{-1} \mathbb{Z}$ . In the latter case  $I(I(\nu)) \cong \mathbb{Z}$ . In all cases,  $M$  fibres over a lower-dimensional manifold with orientable fibre, and so  $w_1^4 = 0$ , by Lemma 3.3. Therefore all the Stiefel-Whitney numbers of  $M$  are 0, and so  $M = \partial W$  for some 5-manifold  $W$ .  $\square$

If  $M$  is a non-orientable  $\text{Sol}_1^4$ -manifold then  $\beta = 0$ . There are non-orientable manifolds with  $\beta > 0$  for each of the other geometries.

For all but three flat 4-manifolds, either  $w_1^2 = 0$  or  $w_2 = 0$  or  $w_1^2 = w_2$  [8]. Hence  $w_1^4 = 0$ , so all Stiefel-Whitney numbers are 0, and the manifold bounds. Two more are total spaces of  $S^1$ -bundles, and so bound the associated disc bundles. Thus only the example with group  $G_6 *_\phi B_4$  requires further argument. (See the next section.)

All  $\text{Sol}_{m,n}^4$ -manifolds (with  $m \neq n$ ) and all  $\text{Sol}_0^4$ -manifolds are mapping tori of self-diffeomorphisms of  $\mathbb{R}^3/\mathbb{Z}^3$ . (See Corollary 8.4.1 of [7].) Thus they all bound.

We may assume henceforth that  $\beta = 0$  (so the manifolds considered are not orientable) and the geometry is  $\text{Nil}^4$ ,  $\text{Nil}^3 \times \mathbb{E}^1$ ,  $\text{Sol}_1^4$  or  $\text{Sol}^3 \times \mathbb{E}^1$ . (However we shall also consider  $\mathbb{E}^4$  in some detail.)

We shall need the following more specialized lemmas later.

**Lemma 3.5.** *Let  $w : \pi \rightarrow \mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z}$  be a homomorphism. Then  $p : \pi \rightarrow G = \pi / \langle k^2 \mid w(k) = 0 \rangle$  induces an isomorphism  $H^1(G; \mathbb{F}_2) \cong H^1(\pi; \mathbb{F}_2)$ . If  $p^*(uw) = 0$  in  $H^2(\pi; \mathbb{F}_2)$  then  $uw = 0$  in  $H^2(G; \mathbb{F}_2)$ .*

*Proof.* If  $p^*(uw) = 0$  in  $H^2(\pi; \mathbb{F}_2)$  there is a function  $f : \pi \rightarrow \mathbb{F}_2$  such that  $u(g)w(g') = f(g) + f(g') - f(gg')$ , for all  $g, g' \in \pi$ . Let  $K = \text{Ker}(w)$  and  $H = \langle k^2 \mid w(k) = 0 \rangle$ . Then  $f|_K$  is a homomorphism, and so  $f(h) = 0$ , for all  $h \in H$ . Hence  $f(g) = f(gh)$ , for all  $g \in \pi$  and  $h \in H$ . Thus  $f$  factors through a function  $\bar{f} : G \rightarrow \mathbb{F}_2$ , and so  $uw = 0$  in  $H^2(G; \mathbb{F}_2)$ .  $\square$

The next lemma uses the non-degeneracy of Poincaré duality.

**Lemma 3.6.** *Let  $M$  be a non-orientable closed 4-manifold with  $\chi(M) = 0$ , and let  $w = w_1(M)$ . Suppose that  $H^1(M; \mathbb{F}_2) = \langle u, w \rangle$ , where  $u^2 = 0$ . Then*

- (1) if  $w^2 \neq 0$  and  $uw \neq 0$ , then  $w^3 = 0$ .
- (2) if  $w^2 \neq 0$  and  $uw = 0$  then  $w^4 \neq 0 \Leftrightarrow w_2(M) \neq 0$  or  $w^2$ .

*Proof.* (1). Since  $u.uw^2 = u^2w^2 = 0$  and  $w.uw^2 = Sq^1(uw^2) = u^2w^2 = 0$ , we have  $uw^2 = 0$ , by Poincaré duality. Now  $\beta_2(M, \mathbb{F}_2) = 2\beta_1(M, \mathbb{F}_2) - 2 = 2$ . Since  $uw.w^2 = uw.uw = 0$  but  $uw \neq 0$  and  $w^2 \neq 0$  we must have  $uw = w^2$ , by Poincaré duality. Hence  $w^3 = uw^2 = 0$ .

(2). Let  $v = w_2(M) + w^2 = v_2(M)$ . If  $w_2(M) \neq 0$  or  $w^2$  then  $H^2(M; \mathbb{F}_2) = \langle w^2, v \rangle$ . Since  $\chi(M) = 0$  we have  $v^2 = w_4 = 0$ . Therefore  $w^4 = (w^2)^2 = w^2v \neq 0$ , by Poincaré duality. The converse is clear, since  $v_2^2 = w_4 = 0$ .  $\square$

The second condition may be generalized as follows. Let  $H^i = H^i(M; \mathbb{F}_2)$  for  $i = 1$  and  $2$ . If  $w_1^2 \neq 0$ ,  $w_1 \cup - : H^1 \rightarrow H^2$  has rank 1,  $w_2$  is not in the image of  $H^1 \odot H^1$  and  $H^2 = \langle H^1 \odot H^1, w_2 \rangle$ , then  $w_1^4 \neq 0$ . However these conditions are harder to check if  $\beta_1(\pi; \mathbb{F}_2) > 2$ .

There are two (flat) 4-manifolds which fibre over  $T$  with fibre  $Kb$ , and thus bound, but for which none of the conditions  $w_1^2 = 0$ ,  $w_2 = 0$  or  $w_2 = w_1^2$  hold [8]. Thus these conditions are not necessary for a 4-manifold to bound. Nevertheless, manifolds which are not mapping tori and whose orientable double covers are not Spin 4-manifolds may be the best candidates for non-bounding examples.

#### 4. 4-MANIFOLDS WITH $\chi = \beta = 0$

If  $M$  is a closed 4-manifold with  $\chi(M) = 0$  and  $\beta = 0$  then  $M$  is non-orientable, and there is an epimorphism  $f : \pi \rightarrow D_\infty$ , where  $D_\infty = Z/2Z * Z/2Z$  is the infinite dihedral group, by Lemma 3.14 of [7]. Hence  $\pi \cong A *_C B$ , where  $C = \text{Ker}(f)$  and  $[A : C] = [B : C] = 2$ . Since  $D_\infty \cong \mathbb{Z} \rtimes Z/2Z$ , the group  $\pi$  has a subgroup of index 2 which is a semidirect product  $C \rtimes \mathbb{Z}$ . Since  $\beta = 0$  the Mayer-Vietoris sequence for the homology of  $\pi$  gives an epimorphism from  $H_1(C; \mathbb{Q})$  to  $H_1(A; \mathbb{Q}) \oplus H_1(B; \mathbb{Q})$ , and so  $\beta_1(A; \mathbb{Q}) + \beta_1(B; \mathbb{Q}) \leq \beta_1(C; \mathbb{Q})$ .

If, moreover,  $M$  is an infrasolvmanifold then  $A$ ,  $B$  and  $C$  are the fundamental groups of 3-dimensional infrasolvmanifolds  $X$ ,  $Y$  and  $Z$ , say, and  $M = MCyl(c) \cup_Z MCyl(d)$ , where  $c : Z \rightarrow X$  and  $d : Z \rightarrow Y$  are double covers. The next two lemmas are clear.

**Lemma 4.1.** *If  $c : Z \rightarrow X$  is a double cover of an  $n$ -manifold  $X$  then  $MCyl(c)$  is an  $(n+1)$ -manifold with boundary  $Z$ . If  $Z$  is connected the mapping cylinder is orientable if and only if  $X$  is non-orientable and  $c$  is the orientable double cover.*  $\square$

In particular, if  $f$  is an orientation-preserving self-diffeomorphism of a 3-manifold  $N$  then  $M(f^2)$  bounds a non-orientable 5-manifold.

**Lemma 4.2.** *Let  $X$  and  $Y$  be connected  $(n-1)$ -manifolds which have double covers  $c : Z \rightarrow X$  and  $d : Z \rightarrow Y$  with the same domain, and let  $M = MCyl(c) \cup_Z MCyl(d)$ . Suppose that  $X$ ,  $Y$  and  $Z$  each bound  $n$ -manifolds  $\hat{X}$ ,  $\hat{Y}$  and  $\hat{Z}$ , and that  $c$  and  $d$  extend to double covers  $\hat{c} : \hat{Z} \rightarrow \hat{X}$  and  $\hat{d} : \hat{Z} \rightarrow \hat{Y}$ . Let  $W = MCyl(\hat{c}) \cup_{\hat{Z}} MCyl(\hat{d})$ . Then  $\partial W = M$ . If  $c$  and  $d$  are the orientable covers of non-orientable manifolds then  $W$  and  $M$  are orientable.  $\square$*

We shall show that this construction applies to many 4-dimensional infrasolvmanifolds.

Theorems 8.4–8.9 of [7] limit the possibilities for  $A, B$  and  $C$ . In particular, if  $C$  is virtually  $\mathbb{Z}^3$  but  $\pi$  is not virtually abelian then  $C$  has holonomy of order  $\leq 2$ . There are four such, two orientable:  $\mathbb{Z}^3$  and  $G_2 = \mathbb{Z}^2 \rtimes_{-I} \mathbb{Z}$ , and two non-orientable:  $B_1 = \mathbb{Z} \times \pi_1(Kb)$  and  $B_2$ . Similarly, if  $C$  is a  $\text{Nil}^3$ -group but  $\pi$  is not virtually nilpotent then  $[C : \sqrt{C}] \leq 2$ . We shall not need to consider the possibility that  $C$  be a  $\text{Sol}^3$ -group.

We note also the following simple result.

**Lemma 4.3.** *If  $\pi \cong A *_C B$  where  $[A : C] = [B : C] = 2$  and  $A$ ,  $B$  and  $C$  are the groups of 3-dimensional infranilmanifolds then the holonomy of  $A$  maps injectively to the holonomy of  $\pi$ .  $\square$*

## 5. AMALGAMATION OVER FLAT 3-MANIFOLD GROUPS

If  $C = \mathbb{Z}^3$  then  $A$  and  $B$  have holonomy of order  $\leq 2$ . Since  $\beta_1(A; \mathbb{Q})$  and  $\beta_1(B; \mathbb{Q}) \geq 1$  and  $\beta_1(A; \mathbb{Q}) + \beta_1(B; \mathbb{Q}) \leq 3$ , we may assume that  $A \cong G_2$  and  $B$  is not  $\mathbb{Z}^3$ . Let  $f, g$  and  $h$  be the involutions of  $S^1 \times D^2$  given by  $f(u, v) = (\bar{u}, \bar{v})$ ,  $g(u, v) = (u, \bar{v})$  and  $h(u, v) = (\bar{u}, uv)$ , for all  $(u, v) \in S^1 \times D^2$ . The boundaries of the mapping tori  $M(f)$ ,  $M(g)$  and  $M(h)$  are the flat 3-manifolds with groups  $G_2$ ,  $B_1$  and  $B_2$ , respectively, and in each case the mapping torus is doubly covered by  $S^1 \times D^2 \times S^1$ , with boundary the 3-torus  $\mathbb{R}^3/\mathbb{Z}^3$ . Therefore the mapping cylinder construction shows that  $M$  is a boundary.

If  $C = G_2$  then  $\beta_1(C; \mathbb{Q}) = 1$ . We may assume that  $A = G_6$  and  $B$  is one of  $G_2, G_4, G_6, B_3$  or  $B_4$ . If  $B = G_2 \cong C$  then the inclusion of  $C$  into  $B$  induces an isomorphism  $C/I(C) \cong B/I(B)$ , and is induced by a double cover from  $M(f)$  to itself. Non-orientable 3-manifolds bound non-orientable 4-manifolds, and their orientable double covers bound the orientable double covers of such manifolds. If  $f$  is the involution of  $S^1 \times D^2$  defined above then  $M(f)$  has an orientation-preserving free involution given by  $[u, v, t] \mapsto [-u, \bar{v}, -t]$ . The quotient manifold has

boundary  $HW$ , the Hantzsche-Wendt flat 3-manifold with group  $G_6$ . Thus the mapping cylinder construction applies, provided  $B \not\cong G_4$ .

If  $C = B_1$  or  $B_2$  then  $A$  and  $B$  must be  $B_3$  or  $B_4$ , and  $I(I(A)) = I(I(B)) = I(C) \cong \mathbb{Z}$ . Hence  $\pi/I(C) \cong A/I(C) *_{\mathbb{Z}^2} B/I(C)$  and so is a 3-manifold group. The manifold  $M$  is then the total space of an  $S^1$ -bundle. (The mapping cylinder construction can also be used here.)

There remains the possibility that  $A = G_6$ ,  $B = G_4$  and  $C = G_2$ . In this case the holonomy group  $Z/4Z$  of  $G_4$  does not act diagonally, and there is no obvious construction of a 4-manifold with boundary the flat 3-manifold with group  $G_4$ . Instead we may use algebraic arguments. The group  $\pi$  then has a presentation

$$\langle t, x, y, z \mid xy^2x^{-1} = y^{-2}, yx^2y^{-1} = x^{-2}, z = xy, tx^2t^{-1} = x^{2m}y^{2p}, \\ ty^2t^{-1} = x^{2n}y^{-2m}, tzt^{-1} = x^{-2r}y^{2s}z, t^2 = x^{2a}y^{2b}z \rangle,$$

where  $a, b, m, n, p \in \mathbb{Z}$ ,  $r = (m-1)a + pb$ ,  $s = -na + (m+1)b$  and  $m^2 + np = -1$ . (We may assume also that  $0 \leq a, b \leq 1$ .) Here  $C = \langle x^2, y^2, z \rangle$ , and  $\pi/C \cong D_\infty$  is generated by the images of  $t$  and  $x$ . The automorphism of  $\sqrt{C} = \langle x^2, y^2, z^2 \rangle$  determined by conjugation by  $tx$  has eigenvalues  $m \pm \sqrt{m^2 + 1}$ . If  $m = 0$  then  $\pi$  is virtually abelian, and the corresponding manifold  $M$  is flat. In this case  $\pi$  is also isomorphic to  $G_2 *_{\mathbb{Z}^3} B_2$ , and so  $M$  bounds. Otherwise,  $\pi$  is not virtually nilpotent, and  $M$  is a  $Sol^3 \times \mathbb{E}^1$ -manifold.

The generators  $t, x$  and  $y$  in this presentation represent orientation-reversing elements of  $\pi$ . If  $m$  is even, or if  $m$  is odd and  $n, p$  are both even, then  $\pi/\pi' \cong (Z/4Z)^2$ , and so  $w_1^2 = 0$ . Thus we may assume that  $m, n$  are odd (and hence  $p$  is even). In this case  $\pi/\pi' \cong Z/8Z \oplus Z/2Z$ , where the summands are generated by the images of  $tx^{-1}$  and  $x$ , respectively. Thus  $w_1$  is projection onto the second summand. Let  $u : \pi \rightarrow Z/2Z$  be the homomorphism determined by  $u(t) = 1$  and  $u(x) = 0$ . Let  $H = \langle k^2 \mid w_1(k) = 0 \rangle$ , as in Lemma 3.5. Then  $G = \pi/H \cong Z/4Z \oplus Z/2Z$ , and so  $u^2 = 0$  and  $uw_1 \neq 0$  in  $H^2(G; \mathbb{F}_2)$ . Hence  $uw_1 \neq 0$  in  $H^2(\pi; \mathbb{F}_2)$ , by Lemma 3.5, and so  $w_1^3 = 0$ , by part (1) of Lemma 3.6. Thus all such manifolds bound.

These results apply immediately to the flat 4-manifolds with  $\beta = 0$ . In the next section we shall use them to confirm that all  $Nil^4$ - and  $Sol^3 \times \mathbb{E}^1$ -manifolds are boundaries.

## 6. $Nil^4$ - AND $Sol^3 \times \mathbb{E}^1$ -MANIFOLDS

Let  $M$  be a  $Nil^4$ -manifold and let  $C$  be the centralizer of  $I(\sqrt{\pi}) \cong \mathbb{Z}^2$  in  $\sqrt{\pi}$ . Then  $C \cong \mathbb{Z}^3$ , and  $1 < \zeta\sqrt{\pi} < I(\sqrt{\pi}) < C < \sqrt{\pi}$  is a characteristic series with all successive quotients  $\mathbb{Z}$ . (See Theorem 1.5

of [7].) In particular,  $C$  is normal in  $\pi$  and  $\pi/C$  has two ends. The preimage in  $\pi$  of any finite normal subgroup of  $\pi/C$  is a flat 3-manifold group which is normal in  $\pi$ . This must be  $\mathbb{Z}^3$ , by Theorem 8.4 of [7], and so  $\pi/C$  has no non-trivial finite normal subgroup. Hence  $\pi/C \cong \mathbb{Z}$  or  $D_\infty$ , and  $[\pi : \sqrt{\pi}]$  divides 4. In particular, if  $\beta = 0$  the mapping cylinder construction of §4 applies, and so all  $\text{Nil}^4$ -manifolds bound. (Note that since  $\zeta\sqrt{\pi} \cong \mathbb{Z}$  the result of [5] applies here if and only if either  $\pi = \sqrt{\pi}$  or  $\pi/\sqrt{\pi} = \mathbb{Z}/2\mathbb{Z}$  and acts by inversion on  $\zeta\sqrt{\pi}$ .)

If  $M$  is a  $\text{Sol}^3 \times \mathbb{E}^1$ -manifold then  $\sqrt{\pi} \cong \mathbb{Z}^3$  and the quotient  $\pi/\sqrt{\pi}$  has two ends. Therefore  $\pi \cong A *_C B$ , where  $\sqrt{\pi} \leq C$ ,  $[C : \sqrt{\pi}]$  is finite and  $[A : C] = [B : C] = 2$ , since we are assuming that  $\beta = 0$ . Since  $\pi$  is not virtually nilpotent,  $[C : \sqrt{\pi}] \leq 2$ , by Theorem 8.4 of [7]. In all cases  $M$  is a boundary, by the results of §4.

## 7. AMALGAMATION OVER $\text{Nil}^3$ -MANIFOLD GROUPS

The other cases that we shall need to consider are when  $A$ ,  $B$  and  $C$  are fundamental groups of  $\text{Nil}^3$ -manifolds. These have canonical Seifert fibrations, with base a flat 2-orbifold with no reflector curves. (There are seven such orbifolds:  $T$ ,  $Kb$ ,  $S(2, 2, 2, 2)$ ,  $P(2, 2)$ ,  $S(2, 4, 4)$ ,  $S(2, 3, 6)$  and  $S(3, 3, 3)$ .) The quotients  $\bar{A} = A/\zeta\sqrt{A}$ ,  $\bar{B} = B/\zeta\sqrt{B}$  and  $\bar{C} = C/\zeta\sqrt{C}$  are the orbifold fundamental groups of the bases. If the image of  $g \in A$  generates a maximal finite cyclic subgroup of  $\bar{A}$  then  $\zeta\sqrt{A} \leq \langle g \rangle$ , since  $\langle g, \zeta\sqrt{A} \rangle$  is torsion-free and virtually  $\mathbb{Z}$ .

**Lemma 7.1.** *Suppose that  $\pi \cong A *_C B$ , where  $C$  is a  $\text{Nil}^3$ -group and  $A = \langle C, t \rangle$  and  $B = \langle C, u \rangle$ , with  $t^2, u^2 \in C$ . Then*

- (1) *if  $[\sqrt{A} : \sqrt{C}] = 2$  or if  $C = \sqrt{C}$  and  $A/\zeta\sqrt{A} \cong \mathbb{Z}^2 \rtimes_{-I} \mathbb{Z}/2\mathbb{Z}$  then the automorphism of  $\sqrt{C}/\zeta\sqrt{C}$  induced by conjugation by  $tu$  has finite order;*
- (2) *if  $\pi$  is not virtually nilpotent then  $\sqrt{A} = \sqrt{B} = \sqrt{C}$ ;*
- (3) *if the inclusion of  $C$  into each of  $A$  and  $B$  induces isomorphisms  $C/\zeta\sqrt{C} \cong A/\zeta\sqrt{A}$  and  $C/\zeta\sqrt{C} \cong B/\zeta\sqrt{B}$  then  $M$  bounds.*

*Proof.* If  $[\sqrt{A} : \sqrt{C}] = 2$  then  $t \in \sqrt{A}$ , and so  $t$  centralizes  $\sqrt{C}/\zeta\sqrt{C}$ . If  $C$  is nilpotent and  $A/\zeta\sqrt{A} \cong \mathbb{Z}^2 \rtimes_{-I} \mathbb{Z}/2\mathbb{Z}$  then  $t$  acts via  $-I$  on  $\sqrt{C}/\zeta\sqrt{C}$ . Since  $u^2 \in C$  and  $[C : \sqrt{C}]$  is finite, in each case some power of  $tu$  acts trivially on  $\sqrt{C}/\zeta\sqrt{C}$ . Hence  $\pi$  is virtually nilpotent.

Part (2) is an immediate consequence of part (1).

The hypotheses of part (3) imply that  $\pi/\zeta\sqrt{C} \cong C/\zeta\sqrt{C} \times D_\infty$ . (Hence  $\pi$  is virtually a product  $\sqrt{C} \times \mathbb{Z}$ .) Let  $N = K(C, 1)$  and let  $\iota$  be the free involution of  $N \times D^2$  which is the antipodal map on the  $S^1$



fibres of  $N$  and reflection across a diameter of  $D^2$ . Then the quotient  $N \times D^2 / \langle \iota \rangle$  is a 5-manifold with boundary  $M = K(\pi, 1)$ .  $\square$

As in the flat case,  $\beta_1(A; \mathbb{Q}) + \beta_1(B; \mathbb{Q}) \leq \beta_1(C; \mathbb{Q}) \leq 2$ . If  $C = \sqrt{C}$  we may assume that either  $A = \sqrt{A}$  and  $K(B, 1)$  has base  $S(2, 2, 2, 2)$ , or the bases for  $K(A, 1)$  and  $K(B, 1)$  are  $Kb$  or  $S(2, 2, 2, 2)$ .

If  $[C : \sqrt{C}] = 2$  then  $K(C, 1)$  has base  $S(2, 2, 2, 2)$  or  $Kb$ . In the first case  $K(A, 1)$  and  $K(B, 1)$  have base  $S(2, 2, 2, 2)$ ,  $P(2, 2)$  or  $S(2, 4, 4)$ . In the second case we may assume that  $K(A, 1)$  has base  $P(2, 2)$  and  $K(B, 1)$  has base  $Kb$  or  $P(2, 2)$ .

**Lemma 7.2.** *Suppose that  $\pi \cong A *_C B$ , where  $C$  is a  $\text{Nil}^3$ -group and  $A = \langle C, t \rangle$  and  $B = \langle C, u \rangle$ , with  $t^2, u^2 \in C$ . Then  $w_1^2 = 0$  if either*

- (1)  $q = [\zeta\sqrt{C} : \zeta\sqrt{C} \cap \sqrt{C}']$  is even, and either  $C = \sqrt{C}$  or  $t^n, u^n \in \zeta\sqrt{C}$  for some  $n \geq 2$ ; or
- (2)  $C = \sqrt{C}$  and  $K(A, 1)$  and  $K(B, 1)$  fibre over  $Kb$ ; or
- (3)  $K(C, 1)$  has base  $S(2, 2, 2, 2)$  and  $K(A, 1)$  and  $K(B, 1)$  both have base  $S(2, 4, 4)$ ; or
- (4)  $K(C, 1)$  has base  $S(2, 2, 2, 2)$  and  $K(A, 1)$  and  $K(B, 1)$  both have base  $P(2, 2)$ .

*Proof.* Since  $\text{Nil}^3$ -manifolds are orientable the orientation reversing elements of  $\pi$  are of the form  $xc$ , where  $x \in (A \cup B) \setminus C$  and  $c \in C$ . In each case, such elements have images in  $\pi/\pi'$  of order divisible by 4.  $\square$

This does not always hold if  $K(A, 1)$  has base  $P(2, 2)$  and  $K(B, 1)$  has base  $S(2, 4, 4)$ . When  $\zeta\sqrt{A} = \zeta\sqrt{B} = \zeta\sqrt{C}$  and  $K(C, 1)$  and  $K(A, 1)$  have bases  $S(2, 2, 2, 2)$  and  $P(2, 2)$ , respectively, the automorphism of  $\sqrt{C}/\zeta\sqrt{C}$  induced by  $tu$  has matrix

$$\xi = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} m & p \\ n & -m \end{pmatrix} = \begin{pmatrix} m & p \\ -n & m \end{pmatrix},$$

where  $m^2 + np = 1$  if  $K(B, 1)$  has base  $P(2, 2)$  and  $m^2 + np = -1$  if  $K(B, 1)$  has base  $S(2, 4, 4)$ . If  $m = 0$  this has finite order, and so  $M$  is a  $\text{Nil}^3 \times \mathbb{E}^1$ -manifold. If  $m = \pm 1$  and  $np = 0$  then  $K(B, 1)$  must also have base  $P(2, 2)$ , and  $M$  is a  $\text{Nil}^3 \times \mathbb{E}^1$ -manifold if  $n = p = 0$ , and is a  $\text{Nil}^4$ -manifold if one of  $n$  or  $p$  is not 0. In all these cases  $w_1^2 = 0$ , and so  $M$  bounds. Otherwise (if  $m^2 = 1$  and  $np = -2$ , or if  $|m| > 1$ ) the eigenvalues of  $\xi$  are not roots of unity, and so  $M$  is a  $\text{Sol}_1^4$ -manifold.

If  $[C : \sqrt{C}] > 2$  then  $M$  must be a  $\text{Nil}^3 \times \mathbb{E}^1$ -manifold. These cases are considered in the next section. (In most such cases part (3) of Lemma 7.1 applies.)

The mapping cylinder construction appears to have limited applicability here. Let  $\Theta_m$  and  $\Psi_n$  be the self-diffeomorphisms of  $S^1 \times D^2$  given by  $\Theta_m(u, d) = (u, u^m d)$  and  $\Psi_n(u, d) = (\bar{u}, u^n \bar{d})$ , for all  $(u, d) \in S^1 \times D^2$ , respectively, and let  $\theta_m = \Theta_m|_T$  and  $\psi_n = \Psi_n|_T$  be the restrictions to  $T = \partial(S^1 \times D^2)$ . The mapping tori  $M(\Theta_m)$  and  $M(\Psi_n)$  are  $D^2$ -bundles over  $T$  and  $Kb$ , respectively. The double covers of  $M(\Theta_m)$  are all diffeomorphic to  $M(\Theta_{2m})$ , while the double covers of  $M(\Psi_n)$  are diffeomorphic to  $M(\Theta_{2n})$  or  $M(\Psi_{2n})$ . In particular, if  $C = \sqrt{A} = \sqrt{B}$  and  $K(A, 1)$  and  $K(B, 1)$  each fibre over  $Kb$  then  $M$  bounds.

### 8. $\text{Nil}^3 \times \mathbb{E}^1$ -MANIFOLDS

If  $M$  is an infranilmanifold with holonomy a finite 2-group which acts effectively on  $\zeta\sqrt{\pi}$  then  $M$  bounds, by Proposition 1.3 of [5]. (The hypotheses of the later result of [10] imply that  $M$  must be an orientable  $\text{Nil}^3 \times \mathbb{E}^1$ -manifold, and so this is of limited interest for our problem.)

Let  $M$  be a  $\text{Nil}^3 \times \mathbb{E}^1$ -manifold. Then  $\sqrt{\pi} \cong \Gamma_q \times \mathbb{Z}$ , for some  $q \geq 1$ , and so  $\zeta\sqrt{\pi} \cong \mathbb{Z}^2$  and  $\sqrt{\pi}/\zeta\sqrt{\pi} \cong \mathbb{Z}^2$ . Moreover,  $I(\sqrt{\pi}) \cong \mathbb{Z}$  and  $I(\sqrt{\pi}) < \zeta\sqrt{\pi}$ . Let  $\theta : \pi \rightarrow \text{Aut}(\zeta\sqrt{\pi})$ ,  $\bar{\theta} : \pi \rightarrow \text{Aut}(\zeta\sqrt{\pi}/I(\sqrt{\pi}))$  and  $\psi : \pi \rightarrow \text{Aut}(\sqrt{\pi}/\zeta\sqrt{\pi})$  be the homomorphisms induced by conjugation in  $\pi$ . Since  $I(\sqrt{\pi})$  is a characteristic subgroup of  $\pi$ , the image of  $\theta$  lies in the diagonal group  $(Z/2Z)^2$  of  $GL(2, \mathbb{Z})$ . The manifold  $M$  is non-orientable if and only if  $\bar{\theta}$  is nontrivial. (In that case the holonomy  $\gamma = \pi/\sqrt{\pi}$  acts by inversion on the Euclidean factor of  $\text{Nil}^3 \times \mathbb{R}$ .)

Let  $K = \text{Ker}(\theta)$ . Then  $\sqrt{K} = \sqrt{\pi}$ , since  $\sqrt{\pi} \leq K \leq \pi$ . Moreover,  $\zeta\sqrt{\pi} \leq \zeta K \leq \sqrt{K}$ , and so  $\zeta K = \zeta\sqrt{\pi}$ . The quotient  $K/\zeta K$  is a flat 2-orbifold group with holonomy  $K/\sqrt{K}$ . Since  $K$  acts trivially on  $\zeta K$  this orbifold is orientable, and so  $K/\sqrt{K}$  is cyclic, of order 1, 2, 3, 4 or 6. The preimage in  $\pi$  of any finite normal subgroup of  $\pi/I(\sqrt{\pi})$  is an infinite cyclic normal subgroup, and therefore is  $I(\sqrt{\pi})$ . Therefore the induced action of  $\gamma$  on  $\sqrt{\pi}/I(\sqrt{\pi})$  is effective, and so  $(\psi, \bar{\theta}) : \gamma \rightarrow GL(2, \mathbb{Z}) \times \mathbb{Z}^\times$  is injective. Hence  $\gamma$  is isomorphic to a subgroup of  $D_{2n} \times Z/2Z$ , for  $n = 4$  or  $6$ . All the possibilities are realized, except for the products  $D_{2n} \times Z/2Z$ , with  $n = 3, 4$  or  $6$  [4].

Although some  $\text{Nil}^3 \times \mathbb{E}^1$ -groups with  $\beta = 0$  are amalgamated free products  $\pi \cong A *_C B$  with  $A, B$  and  $C$  virtually  $\mathbb{Z}^3$ , the cases with  $A = G_6$ ,  $B = G_4$  and  $C = G_2$  do not arise here, and so the corresponding manifolds bound. Thus we may assume that  $\pi \cong A *_C B$ , where  $A, B$  and  $C$  are fundamental groups of  $\text{Nil}^3$ -manifolds. If  $K(C, 1)$  has base  $P(2, 2)$ ,  $S(2, 4, 4)$  or  $S(2, 3, 6)$  then  $\bar{A} = \bar{B} = \bar{C}$ , and so  $M$  bounds, by part (3) of Lemma 7.1. However, if  $K(C, 1)$  has base  $S(3, 3, 3)$  then  $K(A, 1)$  or  $K(B, 1)$  could have base  $S(2, 3, 6)$ . In this case there

are non-normal subgroups of index 3, with similar structures  $\tilde{A} *_{\sqrt{C}} \tilde{B}$ , where  $K(\tilde{A}, 1)$  and  $K(\tilde{B}, 1)$  have base  $T$  or  $S(2, 2, 2, 2)$ . Since coverings of odd degree induce isomorphisms on cohomology with coefficients  $\mathbb{F}_2$ , we may further assume that  $[C : \sqrt{C}] \leq 2$ , and that  $\gamma = \pi/\sqrt{\pi}$  is a 2-group, of order dividing 8.

If  $\gamma = Z/2Z$  then  $\gamma$  must act trivially on  $I(\sqrt{\pi})$  and via  $-I_3$  on  $\sqrt{\pi}/I(\sqrt{\pi}) \cong \mathbb{Z}^3$  (since  $\beta = 0$ ). Thus  $\gamma$  acts effectively on  $\zeta\sqrt{\pi}$ , and so  $M$  bounds, by Proposition 1.3 of [5]. Thus we may assume that either  $\gamma = (Z/2Z)^2$  and  $\zeta\pi = I(\sqrt{\pi})$  (i.e.,  $\gamma$  does not act effectively on  $\zeta\sqrt{\pi}$ ) or  $\gamma = Z/4Z$ ,  $Z/4Z \oplus Z/2Z$ ,  $(Z/2Z)^3$  or  $D_8$ .

If  $C = \sqrt{C}$  then the orientable double cover of  $M$  is a Spin 4-manifold. If, moreover, either  $K(A, 1)$  and  $K(B, 1)$  both fibre over  $Kb$  or  $q = [\zeta\sqrt{C} : \zeta\sqrt{C} \cap \sqrt{C}']$  is even then  $w_1^2 = 0$  and so  $M$  bounds, by part (1) of Lemma 7.2. If  $K(C, 1)$  has base  $S(2, 2, 2, 2)$  and  $\sqrt{A} = \sqrt{B} = \sqrt{C}$  (and  $\pi$  is virtually nilpotent) then  $w_1^2 = 0$ . There are mapping tori of self-diffeomorphisms of such  $K(C, 1)$  which are not Spin [8]. Thus the cases when  $K(A, 1)$  and  $K(C, 1)$  have base  $S(2, 2, 2, 2)$  may give examples of  $\text{Nil}^3 \times \mathbb{E}^1$ -manifolds which are not boundaries.

## 9. $\text{Sol}_1^4$ -MANIFOLDS

If  $M$  is a  $\text{Sol}_1^4$ -manifold then  $\sqrt{\pi} \cong \Gamma_q$  for some  $q \geq 1$ , and  $\pi/\sqrt{\pi}$  has two ends. Therefore  $\pi \cong A *_C B$ , where  $[A : C] = [B : C] = 2$ ,  $\sqrt{\pi} = \sqrt{C}$  and  $[C : \sqrt{\pi}]$  is finite. Thus  $A$ ,  $B$  and  $C$  are fundamental groups of  $\text{Nil}^3$ -manifolds. Since  $\pi$  is not virtually nilpotent,  $[C : \sqrt{\pi}] \leq 2$ , by Theorem 8.4 of [7], and so  $[A : \sqrt{\pi}]$  and  $[B : \sqrt{\pi}]$  are each  $\leq 4$ . Moreover  $\sqrt{A} = \sqrt{B} = \sqrt{C}$ , by part (2) of Lemma 7.1. The possibilities are limited further by the fact that  $\pi$  cannot have  $\mathbb{Z}^2$  as a normal subgroup, since  $\text{Sol}_1^4$ -manifolds are not Seifert fibred. In particular,  $K(C, 1)$  cannot be fibred over  $Kb$ , for otherwise the characteristic subgroup  $I(C) \cong \mathbb{Z}^2$  would be normal in  $\pi$ .

If  $C = \sqrt{\pi}$  then  $K(A, 1)$  and  $K(B, 1)$  are  $S^1$ -bundles over  $Kb$ , by part (1) of Lemma 7.1. The mapping cylinder construction then applies to show that  $M$  bounds. If  $[C : \sqrt{\pi}] = 2$  then  $K(C, 1)$  has base  $S(2, 2, 2, 2)$ , and so  $K(A, 1)$  and  $K(B, 1)$  have bases  $P(2, 2)$  or  $S(2, 4, 4)$ . If the bases are the same then  $w_1^2 = 0$ , by parts (3) and (4) of Lemma 7.2, and so  $M$  bounds. There remains the possibility that  $K(A, 1)$  has base  $S(2, 4, 4)$  and  $K(B, 1)$  has base  $P(2, 2)$ .

**Theorem 9.1.** *Let  $M$  be a  $\text{Sol}_1^4$ -manifold with  $\pi = \pi_1(M) \cong A *_C B$ , where  $K(A, 1)$  is Seifert fibred over  $S(2, 4, 4)$  and  $K(B, 1)$  is Seifert*

fibred over  $P(2, 2)$ . If  $q = [\zeta\sqrt{C} : \zeta\sqrt{C} \cap \sqrt{C}']$  is odd then  $M$  bounds if and only if  $w_1^2 = 0$ .

*Proof.* Since  $K(C, 1)$  is a double cover of each of  $K(A, 1)$  and  $K(B, 1)$ , it is Seifert fibred over  $S(2, 2, 2, 2)$ , and  $\sqrt{A} = \sqrt{B} = \sqrt{C}$ . The orbifold fundamental groups of the bases  $\overline{A} = \pi^{orb}(S(2, 4, 4))$  and  $\overline{B} = \pi^{orb}(P(2, 2))$  have presentations  $\langle a, x \mid a^4 = (a^2x)^2, [x, axa^{-1}] = 1 \rangle$  and  $\langle j, u \mid j^2 = (ju^2)^2 = 1 \rangle$ , and their maximal abelian normal subgroups are  $\langle x, axa^{-1} \rangle$  and  $\langle u^2, (ju)^2 \rangle$ , respectively.

After suitable normalizations we may assume that  $A$  has a presentation

$$\langle a, x, y \mid y = axa^{-1}, [x, y] = a^{4q}, a^2xa^{-2} = x^{-1} \rangle,$$

and that  $C = \langle a^2, x, y \rangle$ . We may then assume that  $B$  has a presentation

$$\langle j, k, x, y \mid [x, y] = j^{2q}, jxj^{-1} = x^{-1}, jyj^{-1} = y^{-1}, kxk^{-1} = x^m y^n j^{2e},$$

$$kyk^{-1} = x^p y^{-m} j^{2f}, k^2 = x^r y^s j^{2g}, (jk)^2 = x^t y^u j^{2h} \rangle,$$

where  $m$  is odd and  $p$  and  $n$  are even (since  $\begin{pmatrix} m & p \\ n & -m \end{pmatrix}$  must be conjugate to  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ), and  $ru - ts = \pm 1$ . Here  $C$  is the subgroup  $\langle j, x, y \rangle$ , and we may identify  $j$  with  $a^2$ . Hence  $\pi$  has a presentation

$$\langle a, k, x, y \mid axa^{-1} = y, a^2xa^{-2} = x^{-1}, kxk^{-1} = x^m y^n a^{4e},$$

$$kyk^{-1} = x^p y^{-m} a^{4f}, k^2 = x^r y^s a^{4g}, (a^2k)^2 = x^t y^u a^{4h}, [x, y] = a^{4q} \rangle.$$

Abelianizing this presentation gives  $[x] = [y]$ ,  $4q[a] = 0$ ,  $2[x] = 0$ ,  $(m+n+1)[x] = 4e[a]$ ,  $(m+p+1)[x] = 4f[a]$ ,  $2[k] = (r+s)[x] + 4g[a]$  and  $2[k] = (t+u)[x] + 4(h-1)[a]$ . Since  $m+n+1$  and  $m+p+1$  are even two of these simplify to  $4e[a] = 4f[a] = 0$ . Moreover  $2q[k] = q[x]$ .

Since  $r+s$  and  $t+u$  cannot both be even, we can solve for  $[x]$  in terms of  $[a]$  and  $[k]$ . If they are both odd then  $\pi/\pi' \cong Z/4\tilde{q}Z \oplus Z/4Z$ , where  $\tilde{q} = h.c.f.\{q, e, f, g-h+1\}$ , and then  $w_1^2 = 0$ . Otherwise  $\pi/\pi' \cong Z/4\tilde{q}Z \oplus Z/2Z$ , where  $\tilde{q}$  divides  $h.c.f.\{q, e, f\}$ , and  $w_1^2 \neq 0$ . If (say)  $r+s$  is even then  $2([k] - 2g[a]) = 0$  and so  $ka^{-2g}$  is an orientation reversing element with image in  $\pi/\pi'$  of order 2.

The projection to the quotient  $\pi/\langle\langle a^4, (ak)^2, x \rangle\rangle \cong D_8$  induces an isomorphism  $H^1(D_8; \mathbb{F}_2) \cong H^1(\pi; \mathbb{F}_2) = \langle u, w \rangle$ . Since  $uw = 0$  in  $H^2(D_8; \mathbb{F}_2)$  it follows that  $uw = 0$  in  $H^2(\pi; \mathbb{F}_2)$  also.

The orientable double cover of  $M$  is the mapping torus of the self-diffeomorphism of  $K(C, 1)$  corresponding to  $t = ak$ , and is not a Spin manifold, since  $q$  is odd. (See §7 of [8].) Therefore  $w_2(M) \neq 0$  or  $w^2$ . It now follows from part (2) of Lemma 3.7 that  $w^4 \neq 0$ , and so  $M$  does not bound.  $\square$

In particular, the  $Sol_1^4$ -manifold  $M$  whose group has presentation  
 $\langle a, k, x, y \mid axa^{-1} = y, a^2xa^{-2} = x^{-1}, kxk^{-1} = x^3y^{-4}, kyk^{-1} = x^2y^{-3},$   
 $k^2 = xy^{-1}, (a^2k)^2 = xy^{-2}, [x, y] = a^4 \rangle.$

is not a boundary.

## 10. $S^1$ -BUNDLE SPACES

In many cases a 4-dimensional infrasolvmanifold  $M$  is the boundary of the total space of a  $D^2$ -bundle over a 3-manifold.

In all, 50 of the 74 flat 4-manifolds are total spaces of  $S^1$ -bundles. The exceptions have  $\beta \leq 1$ , and are three with group  $G_2 \rtimes \mathbb{Z}$  (all non-orientable), three with group  $G_3 \rtimes \mathbb{Z}$  (all orientable), two with group  $G_4 \rtimes \mathbb{Z}$  (both orientable), one with group  $G_5 \rtimes \mathbb{Z}$  (orientable), twelve with group  $G_6 \rtimes \mathbb{Z}$  (seven orientable) and three with  $\beta = 0$  and groups  $G_2 *_\phi B_2$ ,  $G_6 *_\phi B_3$  and  $G_6 *_\phi B_4$  (all non-orientable). In §11 we shall show that the mapping cylinder construction applies to most of these.

Coset spaces of  $Nil^3 \times \mathbb{R}$  or  $Sol^3 \times \mathbb{R}$  are products  $N \times S^1$ , with  $N$  a  $Nil^3$ - or  $Sol^3$ -coset space, respectively, and so bound  $N \times D^2$ . Coset spaces of  $Nil^4$  or  $Sol_1^4$  are also  $S^1$ -bundle spaces, since the action of the centre  $\mathbb{R}$  induces a free  $S^1$ -action on the coset space. A  $Nil^4$ -manifold is such a coset space if and only if  $\beta = 2$ , while a  $Nil^3 \times \mathbb{E}^1$ -manifold is such a coset space if and only if  $\beta = 3$ . These coset spaces are orientable, and so bound orientably.

If  $M$  is a  $Nil^4$ -manifold or a  $Nil^3 \times \mathbb{E}^1$ -manifold, but is not a coset space, then  $\beta \leq 1$  or  $\beta \leq 2$ , respectively. If  $M$  is non-orientable and  $\beta > 0$ , or if  $M$  is an orientable  $Nil^3 \times \mathbb{E}^1$ -manifold and  $\beta = 2$ , then  $\pi \cong \nu \rtimes_\theta \mathbb{Z}$ , where  $\nu = \mathbb{Z}^3, G_2, B_1$  or  $B_2$ . (See Theorems 8.4 and 8.9 of [7].) The manifold  $M$  is the mapping torus of a self-diffeomorphism of the corresponding flat 3-manifold  $N$ . (If  $M$  is orientable then  $\nu = \mathbb{Z}^3$  or  $G_2$ , and if  $M$  is a non-orientable  $Nil^4$ -manifold then  $\nu = \mathbb{Z}^3$ .) If  $\nu = \mathbb{Z}^3$  or  $G_2$  then  $\theta|_{I(\nu)}$  has an eigenvalue  $\pm 1$ , since  $\pi$  is virtually nilpotent. (If  $\beta = 1$  and  $\nu = \mathbb{Z}^3$  the eigenvalue must be  $-1$ .) The quotient of  $\pi$  by the corresponding infinite cyclic normal subgroup is torsion-free, and so  $M$  is also the total space of an  $S^1$ -bundle over a closed 3-manifold. A similar result holds if  $\nu = B_1$  or  $B_2$ , for in these cases  $I(\nu) \cong \mathbb{Z}$ .

Orientable  $Nil^3 \times \mathbb{E}^1$ - and  $Nil^4$ -manifolds with  $\beta = 1$ , and all orientable  $Sol_1^4$ -manifolds (which have  $\beta = 1$ ) are mapping tori of diffeomorphisms of  $Nil^3$ -manifolds. If the fibre is a  $Nil^3$ -coset space, with group  $\nu = \sqrt{\nu}$ , then  $\pi/I(\nu)$  is torsion-free, and so the 4-manifold is the total space of an  $S^1$ -bundle over a  $Nil^3$ -manifold. However if  $\nu \neq \sqrt{\nu}$

then  $\pi$  has no infinite cyclic normal subgroup with torsion-free quotient, and the manifold is not an  $S^1$ -bundle space.

If  $M$  is a  $\text{Sol}^3 \times \mathbb{E}^1$ -manifold then  $\beta \leq 2$ , and if  $\beta = 2$  then  $\pi \cong \mathbb{Z}^3 \rtimes_{\theta} \mathbb{Z}$ . In this case  $\theta$  has an eigenvalue 1, and so  $M$  is an  $S^1$ -bundle space. This is also the case if  $\beta = 1$  and  $\pi \cong \mathbb{Z}^3 \rtimes_{\theta} \mathbb{Z}$ , as one eigenvalue of  $\theta$  must be  $\pm 1$ . Otherwise either  $\beta = 1$  and  $\pi \cong \sigma \rtimes \mathbb{Z}$ , where  $\sigma$  is the group of a  $\text{Sol}^3$ -manifold, or  $\beta = 0$ .

## 11. MAPPING CYLINDER CONSTRUCTIONS

The mapping cylinder construction of Lemma 4.1 and 4.2 apply to many of the flat 4-manifolds which are not realizable by  $S^1$ -bundle spaces. We note here the following variation: if  $c : Z \rightarrow X$  is a double cover and  $f$  is a self-diffeomorphism  $X$  such that  $f_* c_* \pi_1(Z) = c_* \pi_1(Z)$  then  $f$  extends to a self-diffeomorphism  $F$  of  $MCyl(c)$ , and so  $M(f) = \partial M(F)$ .

All the mapping tori of self-diffeomorphisms of orientable flat 3-manifolds with cyclic holonomy and  $\beta = 1$  also fibre over  $Kb$ , and so their groups map onto  $D_{\infty}$ . The groups  $G_6 \rtimes_{\theta} \mathbb{Z}$  corresponding to the outer automorphism classes  $\theta = a, ab, i$  and  $ei$  also map onto  $D_{\infty}$ . The groups corresponding to  $cej, abcej$  and  $j$  have abelianization  $\mathbb{Z}$ , and so Lemma 4.2 does not apply to these. The classes  $ace = (ci)^2$ ,  $bce = (ei)^2$  and  $abcej = j^4$  are squares in  $Out(G_6)$  (as are  $1 = 1^2$  and  $ab = (cei)^2$ ). These bound, since  $M(f^2)$  bounds the mapping cylinder of the canonical double cover of  $M(f)$ . (Since  $cei$  and  $ci$  are orientation-reversing, two of these mapping cylinders are orientable.) The classes  $a, ce, cei, ci$  and  $j$  are not squares, since they are orientation-reversing. The classes  $i$  and  $ei$  are not squares, as they have order 4 and  $Out(G_6)$  has no elements of order 8. The class  $cej$  is not a square, as it has order 6 and  $Out(G_6)$  has no elements of order 12.

The mapping cylinder construction applies to show that each of the four flat 4-manifolds with  $\beta = 0$  is a boundary. There remain five flat 4-manifolds (corresponding to  $ce, cei, cej, ci$  and  $j$ ) for which we do not yet have simple cobounding 5-manifolds, and a further two orientable flat 4-manifolds (corresponding to  $abcej$  and  $bce$ ) for which we do not have simple orientable cobounding 5-manifolds.

## 12. EMBEDDING FLAT 4-MANIFOLDS IN $\mathbb{R}^5$

If a closed 4-manifold  $M$  embeds in  $\mathbb{R}^5$  then it bounds a compact region and is  $s$ -parallelizable. Thus  $M$  is parallelizable if also  $\chi(M) = 0$ . Moreover, if  $X$  and  $Y$  are the closures of the components of  $S^5 \setminus M$  then  $X$  and  $Y$  are connected and  $H^1(X) \oplus H^1(Y) \cong H^1(M)$ . In

particular, if  $\beta = 1$  then  $M$  has an essentially unique infinite cyclic covering  $M'$ , and this bounds a covering of  $X$ , say. Let  $t$  generate the covering group, and let  $T$  be the maximal finite submodule of  $H_1(M; \Lambda)$ . Then Poincaré duality with coefficients in the group ring  $\Lambda = \mathbb{Z}[t, t^{-1}]$  and the Universal Coefficient Spectral Sequence together give an isomorphism  $T \cong \overline{\text{Ext}_\Lambda^2(T, \Lambda)}$ . This is equivalent to a non-degenerate pairing  $\ell_p : T \times T \rightarrow \mathbb{Q}/\mathbb{Z}$ , with an isometric action of the covering group. When  $M'$  is homotopy equivalent to a 3-manifold this pairing is the standard torsion linking pairing on  $M'$ , with the action of the covering group  $\langle t \rangle$ . (In knot theory this pairing is known as the Farber-Levine pairing.) If  $M = \partial W$  and  $p$  extends to a homomorphism from  $\pi_1(W)$  to  $\mathbb{Z}$  then  $K = \text{Ker}(\cdot : T \rightarrow H_1(W; \Lambda))$  is a submodule which is its own annihilator with respect to  $\ell_p$ . Hence  $\ell_p$  is metabolic.

Every closed 3-manifold  $N$  embeds in  $\mathbb{R}^5$  [11]. The normal bundle of an embedding  $j : N \rightarrow \mathbb{R}^5$  is classified by an Euler class  $e(j) \in H^2(N; \mathbb{Z}^w) \cong H_1(N; \mathbb{Z})$ . If  $M$  is the boundary of a regular neighbourhood of  $j$  then  $M$  is the total space of an  $S^1$ -bundle over  $N$ , and  $e(j)$  is also the class of the corresponding extension of  $\pi_1(N)$  by  $\mathbb{Z}$ . If  $N$  is orientable the normal bundle is trivial, and so  $M = N \times S^1$ .

The six orientable flat 4-manifolds which are products  $N \times S^1$  (with groups  $G_i \times \mathbb{Z}$ , for  $1 \leq i \leq 6$ ) all embed in  $\mathbb{R}^5$ . Since  $G_3^{ab} \cong \mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$  and  $G_4^{ab} \cong \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ , the flat 4-manifolds with groups  $G_i \rtimes_\theta \mathbb{Z}$  (for  $i = 3$  or  $4$ ) and  $\beta = 1$  do not embed in  $\mathbb{R}^5$ . The group  $G_6^{ab} \cong (\mathbb{Z}/4\mathbb{Z})^2$  does not have a subgroup which is its own annihilator with respect to the torsion linking pairing of  $HW$ , and so no flat 4-manifold with group  $G_6 \rtimes \mathbb{Z}$  and  $\beta = 1$  can embed in  $\mathbb{R}^5$ . However, such considerations do not apply to the flat 4-manifold with group  $G_5 \rtimes_\theta \mathbb{Z}$  and  $\beta = 1$ , since  $G_5^{ab} \cong \mathbb{Z}$  is torsion-free. In this case  $H_1(\pi) \cong \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$  is the sum of two cyclic groups. Since the corresponding flat 4-manifold  $M$  has  $w_2(M) = 0$  and  $\sigma(M) = 0$ , it embeds in  $\mathbb{R}^5$ , by Theorem 6.2 of [2].

If  $\pi \cong \mathbb{Z}^3 \rtimes_T \mathbb{Z}$  has cyclic holonomy and  $\beta = 2$ , then any basis for  $\pi/I(\pi) \cong \mathbb{Z}^2$  will contain at least one element whose image generates the holonomy. Therefore if  $M$  embeds in  $S^5$  with closed complementary regions  $X$  and  $Y$  there will be an infinite cyclic cover  $M'$  with fundamental group an orientable flat 3-manifold group with the same holonomy, which bounds an infinite cyclic cover of  $X$ , say. This is again impossible if the holonomy has order 3 or 4.

The remaining six orientable flat 4-manifolds are mapping tori of self-diffeomorphisms of the half-turn flat 3-manifold, with groups  $G_2 \rtimes_\theta \mathbb{Z}$ , and five of these have  $\beta = 1$ . These also fibre over non-orientable flat 3-manifolds. In three of these cases the group is a semidirect product

$\mathbb{Z} \rtimes_w B_i$ , where  $w = w_1(B_2)$  and  $2 \leq i \leq 4$ . These correspond to  $S^1$ -bundles with a section, i.e., to bundles with Euler class 0. We shall show that they each embed in  $\mathbb{R}^5$ .

If a flat 4-manifold  $M$  is the boundary of a regular neighbourhood of an embedding  $j$  of a non-orientable flat 3-manifold  $N$  in  $\mathbb{R}^5$ , then  $\pi = \pi_1(M)$  is a non-trivial extension of  $\pi_1(N)$  by  $\mathbb{Z}$ ,  $\beta = \beta_1(N)$  and  $e(j)$  must have finite order. In particular, if  $\pi_1(N) = B_1$  or  $B_2$  then  $\pi \cong G_2 \times \mathbb{Z}$  or  $\mathbb{Z} \rtimes_w B_2$ . The semidirect product is the only orientable, virtually abelian extension of  $B_2$  by  $\mathbb{Z}$ , since  $H_1(B_2; \mathbb{Z})$  is torsion-free. If  $\pi_1(N) = B_3$  or  $B_4$  then  $\beta = 1$ ,  $\pi \cong G_2 \rtimes_{\theta} \mathbb{Z}$  and the holonomy is  $(\mathbb{Z}/2\mathbb{Z})^2$ .

Since  $Kb$  embeds in  $G_2$ ,  $Kb \times S^1$  embeds in  $\mathbb{R}^5$  with normal Euler class 0, and so the flat 4-manifold with group  $\mathbb{Z} \rtimes_w B_1$  embeds. (This is of course  $G_2 \times S^1$ .) Let  $R$  be the orientation preserving involution of  $D^2 \times D^2$  which swaps the factors. Then  $R$  restricts to an orientation-reversing involution of  $T = S^1 \times S^1$ , and  $M(R_T) \cong K(B_2, 1)$  embeds in  $M(R) \cong S^1 \times D^4 \subset \mathbb{R}^5$ . Since this embedding can be isotoped off itself, the flat 3-manifold  $K(B_2, 1)$  embeds in  $\mathbb{R}^5$ , with normal Euler class 0.

Two of the non-orientable flat 3-manifolds fibre over the torus, while the other two fibre over the Klein bottle. Let  $p_i : E_i \rightarrow F$  be the projection of the associated  $\mathbb{R}^2$ -bundle, let  $s : F \rightarrow E_i$  be the 0-section, and let  $j_i : K(B_i, 1) \rightarrow E_i$  be the natural inclusion of the unit circle bundle. Note that  $j_i$  may be isotoped to a disjoint nearby embedding. Let  $\eta_i$  be the line bundle over  $F$  with  $w_1(\eta_i) = s^*w_1(E_i)$ . Then the Whitney sum  $p_i \oplus \eta_i$  is an  $\mathbb{R}^3$ -bundle over  $F$ , with orientable total space  $\hat{E}_i = E(p_i \oplus \eta_i)$ .

If  $i = 2$  or  $4$  the fibres of the projections  $p_i j_i$  have image 0 in  $H_1(B_i; \mathbb{F}_2)$ , and so  $p_i j_i$  induces isomorphisms  $H^q(F; \mathbb{F}_2) \cong H^q(B_i; \mathbb{F}_2)$ , for  $q \leq 2$ . Since  $w_2 = w_1^2$  for any 3-manifold, by the Wu relations, the Whitney sum formula gives  $w_2(\hat{E}_i) = 0$ . Regular neighbourhoods of any embedding of  $T$  or  $Kb$  in  $\mathbb{R}^5$  are  $D^3$ -bundles with parallelizable total space. Therefore if  $i = 2$  or  $4$  then  $\hat{E}_i$  embeds in  $\mathbb{R}^5$ . Hence the flat 3-manifold  $K(B_i, 1)$  also embeds in  $\mathbb{R}^5$ , with normal Euler class 0. The boundary of a regular neighbourhood is an orientable flat 4-manifold with group  $\mathbb{Z} \rtimes_w B_i$ .

When  $i = 1$  or  $3$  it is not so clear that  $w_2(\hat{E}_i) = 0$ . Instead we use more explicit constructions. We have already done this for  $i = 1$ . We may embed  $Kb$  in  $S^1 \times D^3$  as the subset  $\{(u^2, x, yu) \mid u \in S^1, x, y \in \mathbb{R}, x^2 + y^2 = 1\}$ . Let  $h$  be the orientation-preserving diffeomorphism of  $S^1 \times D^3$  given by  $h(u, x, y, z) = (\bar{u}, x, y, -z)$ . Then  $h$  reverses the  $S^1$



factor,  $h(Kb) = Kb$  and  $h$  fixes pointwise the fibre of  $Kb$  over  $u = 1$ . The mapping torus  $M(h)$  is an orientable  $D^3$ -bundle over  $Kb$ , and  $M(h|_{Kb}) = B_3$ . Since  $h|_{\partial}$  has 1-dimensional fixed point set, the boundary of  $M(h)$  is the orientable  $S^2$ -bundle over  $Kb$  with  $w_2 = 0$ , and so  $w_2(M(h)) = 0$ . Therefore  $M(h)$  embeds in  $\mathbb{R}^5$  as a regular neighbourhood of an embedding of  $Kb$ . Hence  $K(B_3, 1)$  also embeds in  $\mathbb{R}^5$ , with normal Euler class 0. The boundary of a regular neighbourhood is an orientable flat 4-manifold with group  $\mathbb{Z} \rtimes_w B_3$ .

One of the three remaining groups  $G_2 \rtimes \mathbb{Z}$  has abelianization  $\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z}$ . The corresponding flat 4-manifold embeds in  $\mathbb{R}^5$ , by Theorem 6.2 of [2]. The group is a non-split extension of  $B_4$  by  $\mathbb{Z}$ , and so the normal Euler class is a non-zero torsion class.

The two undecided cases have groups with presentations

$$\langle t, x, y, z \mid txt^{-1} = x^{-1}yz, ty = yt, tzt^{-1} = z^{-1}, \\ xyx^{-1} = y^{-1}, xzx^{-1} = z^{-1}, yz = zy \rangle$$

and

$$\langle t, x, y, z \mid txt^{-1} = x^{-1}, tyt^{-1} = z, tzt^{-1} = y, \\ xyx^{-1} = y^{-1}, xzx^{-1} = z^{-1}, yz = zy \rangle,$$

respectively. These manifolds are *Spin*, and so embed in  $\mathbb{R}^6$ . In each case the Farber-Levine pairing is metabolic, and so provides no obstruction to an embedding in  $\mathbb{R}^5$ . On the other hand, the abelianizations each need at least three generators, and so the result of [2] does not apply.

## REFERENCES

- [1] Baues, O. Infra-solvmanifolds and rigidity of subgroups in solvable linear algebraic groups, *Topology* 43 (2004), 903–924.
- [2] Cochran, T.D. Embedding 4-manifolds in  $S^5$ , *Topology* 23 (1984), 257–269.
- [3] Dekimpe, K. Determining the translational part of the fundamental group of an infrasolvmanifold of type  $(R)$ , *Math. Proc. Cambridge Phil. Soc.* 122 (1997), 515–524.
- [4] Dekimpe, K. *Almost-Bieberbach Groups: Affine and Polynomial Structures*, Lecture Notes in Mathematics 1639, Springer-Verlag, Berlin - Heidelberg - New York (1996).
- [5] Farrell, F. T. and Zdravkovska, S. Do almost flat manifolds bound? *Michigan Math. J.* 30 (1983), 199–208.
- [6] Hamrick, G.C. and Royster, D.C. Flat Riemannian manifolds are boundaries, *Invent. Math.* 66 (1982), 405–413.
- [7] Hillman, J.A. *Four-Manifolds, Geometries and Knots*, GT Monograph vol 5, (2002). (Revision 2007.)
- [8] Hillman, J.A. Parallelizability of 4-dimensional infrasolvmanifolds, arXiv.math GT 1105.1839.
- [9] Husemoller, D. *Fibre Bundles*, McGraw-Hill Book Co., New York - Toronto - London - Sydney, (1966).
- [10] Upadhyay, S. A bounding question for almost flat manifolds, *Trans. Amer. Math. Soc.* 353 (2001), 963–972.
- [11] Wall, C.T.C. All 3-manifolds embed in 5-space, *Bull. Amer. Math. Soc.* 71 (1965), 564–567.

SCHOOL OF MATHEMATICS AND STATISTICS, UNIVERSITY OF SYDNEY, NSW  
2006, AUSTRALIA

*E-mail address:* jonathan.hillman@sydney.edu.au